

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


IN RE APPLICATION OF:
KENDALL, Errol

GROUP ART UNIT: 3693

SERIAL NUMBER: 09/756,906 (

EXAMINER: HAVAN, Thu Thao

FILED: January 10, 2001

FOR: **SYSTEM FOR APPRAISING A FINANCIAL PRODUCT**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

- The Applicant(s) wish to make of record the references listed on the attached PTO/SB/08. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

RELATED DOCUMENTS

- For the Examiner's convenience, Applicant(s) wish to make of record the following document(s):
 - ☐ International Search Report from PCT/_____/_____;
 - ☐ Written Opinion of the ISA from PCT/_____/_____;
 - ☐ Search Report of the _____ patent office in _____;
 - Office Action of the **Australian** patent office in **Appln No. 2001293003**;
 - ☐ Office Action of the U.S. Patent Office in _____;
 - ☐ _____.

The above-cited document(s) are not prior art. The above-cited document(s) should not appear on the face of any patent that issues from the above-identified application. Accordingly, these document(s) are not listed on the attached PTO/SB/08. These document(s) are believed to be relevant only in that they identify one or more of the documents cited on the attached PTO/SB/08, or were issued in another application associated with the inventor(s) and/or applicant(s) of this application.

10/14/2008 SZEWDIE1 00000124 09756906

RELATED CASES

01 FC:1806

100.00 OP

- ☐ A list of Applicant's pending applications or issued patents, which may be related to the present application, is attached.

CERTIFICATION

The undersigned certifies that:

- ☐ each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after having made reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

FEES

- A check is attached in the amount required under 37 CFR § 1.17(p) for taking action under 37 CFR § 1.97(c) or (d).

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: October 10, 2008



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CERTIFICATION

The undersigned certifies that:

- ☐ each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after having made reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

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